Resting vagal control and resilience as predictors of cardiovascular allostatic response in peacekeepers

GABRIELA GUERRA LEAL SOUZA1, LUDMILA NAVES MAGALHÃES1, THIAGO AMORIM RIBEIRO DA CRUZ1, ANA CAROLINA FERRAZ MENDONÇA-DE-SOUZA2, ANTÔNIO FERNANDO A. DUARTE3, NASTASSJA L. FISCHER4, WANDERSON F. SOUZA5, EVANDRO DA SILVA F. COUTINHO6, JAIME VILA7, SONIA GLEISER8, IVAN FIGUEIRA8, & ELIANE VOLCHAN4

1Departamento de Ciências Biológicas, Universidade Federal de Ouro Preto, Ouro Preto, Brazil, 2Forebrain Neurotecnologia Ltda., Rio de Janeiro, Brazil, 3Instituto de Pesquisa da Capacitação Física do Exército, Rio de Janeiro, Brazil, 4Instituto de Biofísica Carlos Chagas Filho, Universidade Federal do Rio de Janeiro, Rio de Janeiro, Brazil, 5Departamento de Psicologia, Universidade Federal Rural do Rio de Janeiro, Seropédica, Brazil, 6Escola Nacional de Saúde Pública, Fundação Oswaldo Cruz, Rio de Janeiro, Brazil, 7Departamento de Personalidad, Evaluación y Tratamiento Psicológico, Universidad de Granada, Granada, Spain, and 8Instituto de Psiquiatria, Universidade Federal do Rio de Janeiro, Rio de Janeiro, Brazil

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Abstract
The body’s adaptive reaction to a stressful event, an allostatic response, involves vigorous physiological engagement with and efficient recovery from stress. Our aim was to investigate the influence of individual predispositions on cardiac responses to and recovery from a standardized psychosocial stress task (Trier Social Stress Task) in peacekeepers. We hypothesized that those individuals with higher trait resilience and those with higher resting vagal control would be more likely to present an allostatic response: a vigorous cardiac response to stress (i.e., reduction in interbeat intervals and heart rate variability (HRV)) coupled with a significant cardiac recovery in the aftermath. Fifty male military personnel with a mean age of 25.4 years (SD ± 5.99) were evaluated after returning from a peacekeeping mission. Electrocardiogram recordings were made throughout the experimental session, which consisted five conditions: basal, speech preparation, speech delivery, arithmetic task, and recovery. Mean interbeat intervals and HRV were calculated for each condition. An Ego-Resilience Scale and resting vagal control assessed individual predispositions. Stress tasks reduced interbeat intervals (tachycardia) and HRV in comparison with basal, with return to basal in the aftermath (p < 0.001, for all comparisons). Resilience and resting vagal control correlated positively with cardiac parameters for both stress reactivity and recovery (r > 0.20; p < 0.05). In conclusion, peacekeepers showing higher trait resilience and those with higher resting vagal control presented a more adaptive allostatic reaction characterized by vigorous cardiac response to stress (i.e., tachycardia and vagal withdrawal) and efficient cardiac recovery after stress cessation.

Keywords: Cardiac reactivity, cardiac recovery, heart rate variability, individual variability, parasympathetic nervous system, psychological stress

Introduction
Allostasis is an extension of the concept of homeostasis and represents the adaptation process of complex physiological systems to physical, psychological, and environmental challenges, or stress. Allostasis, described as the ability to achieve stability through change, is critical to survival. The core of the body’s response to a challenge is twofold: turning on an allostatic response that initiates a complex adaptive pathway and then shutting off this response when the threat is over (McEwen 1998; McEwen and Gianaros 2011).

Resilience is a dynamic process involving an interaction between both risk and protection factors,
internal and external to the individual, that act to modify the effects of an adverse life event (Rutter 1999). It corresponds to the process of adapting well in the face of adversity, trauma, tragedy, threats of harm, or other significant sources of stress (Yehuda et al. 2006). Resilience has been considered not so much as an invulnerability to stress but rather as an ability to recover from negative events (Garmezy 1991). Trait resilience is characterized by high flexibility in response to changing situational demands and by the ability to bounce back from negative emotional experiences (Block and Kremen 1996). In the context of allostasis, resilience denotes the ability of an organism to respond to stressors in the environment by means of the appropriate engagement and efficient termination of allostatic responses (Karatsoreos and McEwen 2011).

Although the heart has an intrinsic automaticity in its pacemaker tissues, heart rate and rhythm are largely under the control of the parasympathetic and sympathetic branches of the autonomic nervous system. Heart rate variability (HRV) comprises the oscillation in the interval between consecutive heartbeats. Under resting conditions, vagal tone prevails and variations in heart period are largely dependent on parasympathetic modulation (Task Force of the European Society of Cardiology and the North American Society of Pacing Electrophysiology 1996). Mounting research points out that those individuals with resting vagal control higher than average tend to be more resilient, adapting well across a number of different situations. Higher resting vagal control is linked to social and psychological well-being (Kok and Fredrickson 2010), trait positive emotionality (Oveis et al. 2009), decreased maladaptive coping (El-Sheikh et al. 2001), and increased recovery from stress (Souza et al. 2007; Weber et al. 2010). Hence, resting vagal control is a promising measure of autonomic flexibility, the capacity of the parasympathetic nervous system to adapt to changes in circumstance by modifying arousal, respiration, heart rate, and attention (Porges 1995; Porges 2007). In addition, decreased resting vagal control has been associated with increased allostatic overload, as indexed by high levels of proinflammatory cytokines and cortisol, poor glucose regulation (Thayer and Sternberg 2006), high incidence of mental disorders (Kemp et al. 2010), and cardiovascular risk factors such as obesity, hypertension, and diabetes (Thayer et al. 2010).

High-risk professionals such as peacekeepers are well suited to the study of resilience as they are routinely exposed to traumatic and stressful events with the consequent possibility of allostatic overload. Although the role of a peacekeeper in modern peacekeeping operations is supposed to be less life-threatening and stressful than a soldier in combat, their work involves many additional demands that go beyond military practice. Among those demands is the fact that no visible and direct enemy may be identified. It is not rare during this kind of operation that peacekeepers are attacked by the same population they are supposed to be helping. This kind of threat leads to a situation that is one of the most notable differences between soldiers as warriors and soldiers as peacekeepers: the need to maintain restraint and neutrality. A wide variety of psychopathological conditions have been reported after peacekeeping missions, such as depression, alcoholism, drug abuse, somatization disorders, acute stress disorder, and posttraumatic stress disorder (Mehlum et al. 2006; Orsillo et al. 1998). Some studies have proposed that negative personality traits in peacekeepers are associated with an increased risk for developing stress-related symptoms (Brams et al. 2000; Souza et al. 2008). Moreover, one of the main risk factors for stress symptoms in peacekeepers is having more deployment-related exposures (Hotopf et al. 2003). Coping with stress is important for the success of a peacekeeping mission, and adaptive allostasis is important for minimizing stress-related symptoms after deployment. The body’s adaptive reaction to a stressful event involves vigorous physiological engagement and efficient shutting off when the threat is over. To prevent maladaptive coping, it is important to identify individual predispositions associated with this flexible reaction. Hence, it is important to investigate in peacekeepers if allostatic flexibility when facing acute stress could be related to differential psychological (trait resilience) and physiological (resting vagal control) predispositions. Peacekeepers provide a good opportunity to test for these associations, as other possible intervening factors such as differences in gender, age, health, and physical conditioning can be readily controlled.

In a wide variety of acute psychological stress paradigms, a significant increase in heart rate seems to be a consistent response (Haynes et al. 1991; Kudielka et al. 2004a, Souza et al. 2007). More recently, some studies also found decreased HRV during stress, indicating a reduction in vagal activity (Egizio et al. 2008; Weber et al. 2010). The Trier Social Stress Test (TSST) (Kirschbaum et al. 1993) is one of the most useful protocols to induce acute psychological stress in laboratory settings. In the TSST, the participant is asked to deliver a speech followed by an arithmetic task in front of an evaluating audience and a camera. This protocol consistently evokes subjective stress and activation of both the hypothalamic–pituitary–adrenocortical axis and sympatho-adrenal-medullary system (Kelly et al. 2008; Kudielka et al. 2004b; Nater et al. 2006; Schommer et al. 2003).

The aim of the present work was to investigate the association between trait resilience and resting vagal control with the cardiac reactivity to and recovery from acute psychological stress in peacekeepers. We
hypothesized that those individuals with higher trait resilience and those with higher resting vagal control would be more likely to present a more flexible allostatic response: a vigorous cardiac response to stress (i.e., tachycardia and reduction in HRV) coupled with a significant cardiac recovery in the aftermath. Indeed, a key aspect of an allostatic response is the efficient turning on and shutting off of the responses of the mediators of allostasis (e.g., cortisol, increased blood pressure, and inflammatory response) minimizing the wear and tear associated with allostatic overload. This efficiency of response reduces the overall exposure of the body to those mediators by confining them to the time window in which an adaptive response to an acute stressor should occur.

Methods

Participants

The study was conducted with 50 male Brazilian Army personnel (soldiers, corporals, and sergeants) with a mean age of 25.4 years (SD ± 5.99) and mean body mass index of 23.2 kg/m² (SD ± 4.34), who volunteered for the United Nations Mission for Stabilization in Haiti (MINUSTAH). They were recruited to take part in the study after responding to an invitation posted in their units. Before embarking on the 6-month peacekeeping mission in Haiti, all participants received the same preparatory instructions and physical training, delivered by a specialized training team from the Brazilian Army Physical Fitness Research Institute. The experiments were conducted 4–10 months after the return to Brazil from the peacekeeping mission in Haiti. Inclusion criteria required that participants were nonsmokers, reported no mental disorders, and were not taking any medication at the time of the experiment. The study was conducted in accordance with the Declaration of Helsinki, and was approved by the Ethics Institutional Review Board of the Federal University of Rio de Janeiro. Written informed consent was provided by the participants.

Psychometric measure

To evaluate the resilience trait of peacekeepers, we applied the Ego-Resiliency Scale (ER-89) (Block and Kremen 1996). This is a 14-item instrument consisting of phrases that describe trait resilience. It measures trait resilience by assessing the way people manage fluctuations in daily life and what they usually do about their own experiences. Participants rated each phrase on a 1–4 scale (1, does not apply at all to 4, applies very strongly). A high score in the ER-89 suggests that the respondent has a secure personality marked by energy, enthusiasm, and ability to recover from stress, to enjoy the company of others, and to be generous.

Cardiac measures

One PC compatible computer controlled data acquisition of the electrocardiographic parameters and instructions to volunteers, running Acknowledge (BIOPAC Systems Inc.) and Presentation (Neurobehavioral Systems) software programs, respectively. Electrocardiographic recordings were collected at a sampling frequency of 1000 Hz through an electrocardiograph ECG100C module coupled to the MP150 system (BIOPAC Systems Inc.).

An off-line peak detection algorithm (derivative plus threshold) was used to estimate R-wave fiducial points, after which the series was screened by hand and corrected for artifacts. Successive RR intervals were estimated in milliseconds. Two cardiac parameters were extracted for the first 2 min of each experimental condition (see below): the mean inter-beat interval (IBI) averaged from the successive R–R series and the HRV, calculated by the root mean square of successive differences between adjacent RR intervals (RMSSD2min). In addition, RMSSD was calculated for the whole 5-min basal period (RMSSD5min) to characterize the “resting vagal control,” considering a form of individual physiological predisposition. In resting conditions, the RMSSD has been associated with parasympathetic outflow to the heart and used to reliably index “vagally” mediated HRV independent of the individual fluctuations in breathing rate (Penttila et al. 2001). Data processing followed the recommendations of the Task Force of the European Society of Cardiology and the North American Society of Pacing Electrophysiology (1996). Matlab software (KARDIA) was used to analyze cardiac parameters (de Carvalho et al. 2002; Perakakis et al. 2010).

Stress task

The TSST was used (Kirschbaum et al. 1993), as adapted for the peacekeeping troops (Mendonça-de-Souza 2010). The TSST is a standardized protocol for the induction of moderate to extreme psychological stress in laboratory settings. In this adapted version, the participant had to prepare (10 min) and deliver a speech (5 min) about his qualities as a peacekeeper. He was expected to make a statement about why he would be a good candidate for a future peacekeeping mission. To assure participant engagement, the task was performed in front of two army officers. When the participant finished his speech ahead of time, the officers argued with him until he completed the 5-min period. After the speech, the participant performed an arithmetic task (5 min) in which he was asked to count backwards from 910 by...
subtracting 7 sequentially (e.g., 910, 903, 896, 889, and so on). After each wrong answer, he was warned and had to restart the test from the beginning. The whole session was video recorded, and the participants were informed that the video would be evaluated later.

Procedure
After completing the written informed consent, participants sat in front of a table in a sound-attenuated and temperature-controlled (22–24°C) room. The ECG electrodes were placed on the chest at lead II and they completed the Ego-Resilience Scale. After a 20-min period of adaptation to the experimental set-up, a 5-min basal ECG was registered. Next, two army officers entered the room, instructed the volunteer about the speech preparation, and left the room. Then, the participant had 10 min to prepare his speech. After that, the officers came back and sat in front of the volunteer to conduct both stress tasks (speech, 5 min, and arithmetic, 5 min). Finally, the participant was instructed to relax for the next 5 min, while the recovery phase recordings were made. The whole procedure was recorded by a video camera. At the end, participants were thanked and debriefed. All experimental sessions started between 13:00 and 17:00 h.

Statistical analysis
To investigate the reactivity profile to the experimental challenge, two one-way repeated-measures analysis of variance (RM-ANOVA) were conducted separately for the IBI and the HRV (RMSSD2min) with variance (RM-ANOVA) were conducted separately. To investigate the reactivity profile to the experimental challenge, two one-way repeated-measures analysis of variance (RM-ANOVA) were conducted separately for the IBI and the HRV (RMSSD2min) with variance (RM-ANOVA) were conducted separately. Tukey's post hoc analysis for stress recovery revealed increased IBI (toward basal levels) for the recovery condition in comparison with preparation, speech, and arithmetic conditions (p < 0.001, for all comparisons). Comparison between mean IBIs for speech and arithmetic conditions was not significant (p = 0.98), but both showed shorter mean IBIs (more tachycardia) compared to preparation condition (p < 0.001, for both comparisons). Post hoc analysis for stress recovery revealed increased IBI (toward basal levels) for the recovery condition in comparison with preparation, speech, and arithmetic conditions (p < 0.001, for all comparisons). Comparison between mean IBIs for recovery and basal conditions was not significant (p = 0.99). In summary, participants presented tachycardia during each stress condition (preparation, speech, and arithmetic) in relation to basal that recovered toward basal levels after stress cessation (Figure 1a).

RM-ANOVA for HRV (RMSSD2min) revealed the main effect of conditions (F(4,164) = 101.34, p < 0.0001, ε = 0.55). Tukey's post hoc analysis for stress reactivity showed reduction in IBIs (tachycardia) during preparation, speech, and arithmetic conditions in comparison with basal (p < 0.001, for all comparisons). Comparison between mean IBIs for speech and arithmetic conditions was not significant (p = 0.98), but both showed shorter mean IBIs (more tachycardia) compared to preparation condition (p < 0.001, for both comparisons). Post hoc analysis for stress recovery revealed increased IBI (toward basal levels) for the recovery condition in comparison with preparation, speech, and arithmetic conditions (p < 0.001, for all comparisons). Comparison between mean IBIs for recovery and basal conditions was not significant (p = 0.99). In summary, participants presented tachycardia during each stress condition (preparation, speech, and arithmetic) in relation to basal that recovered toward basal levels after stress cessation (Figure 1a).

Results
Acute stress: Reactivity and recovery

Data were analyzed using the Statistica 7.0 software program (StatSoft Inc, OK). In all analyses, statistical significance was taken as p ≤ 0.05.

Allostasis and individual predisposition

Psychological and physiological measures of individual predispositions were correlated. Spearman correlation coefficient showed a positive association between trait resilience (measured by ER-89) and resting vagal control (measured by RMSSD during
participants with higher resting vagal control reacted more to, and recovered more efficiently from stress, in terms of IBI fluctuations (Table I).

Spearman correlation coefficients showed that resting vagal control had a significant positive association with RMSSD_2min reactivity index for speech task (basal–speech task: \( r = 0.76, \ p < 0.0001 \)), and a significant positive association with RMSSD_2min reactivity index for arithmetic task (basal–arithmetic task: \( r = 0.72, \ p < 0.0001 \)). Furthermore, resting vagal control had a significant positive association with RMSSD_2min recovery index for speech task (recovery–speech task: \( r = 0.53, \ p < 0.0001 \)). These results indicated that participants with higher resting vagal control had a lower RMSSD during both the stress tasks and a higher RMSSD after these tasks (Table I).

**Trait resilience**

The mean score on trait resilience measured by ER-89 was 39.0 (SEM ± 0.71). Spearman correlation coefficients showed a significant positive association between trait resilience and IBI reactivity index for speech task (basal–speech task: \( r = 0.29, \ p = 0.047 \)) and between trait resilience and IBI reactivity index for arithmetic task (basal–arithmetic task: \( r = 0.38, \ p = 0.007 \)). Trait resilience had a significant positive correlation with IBI recovery index for arithmetic task (recovery–arithmetical

<table>
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<th>Table I. Trier Social Stress Test tasks: Spearman correlations between reactivity or recovery indexes (interbeat interval [ms] and heart rate variability [ms]) and individual predispositions (resting vagal control or trait resilience).</th>
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<tr>
<td><strong>Interbeat (R–R) interval (ms)</strong></td>
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<td><strong>Reactivity indexes</strong></td>
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<td>Basal–speech</td>
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<td>Recovery indexes</td>
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<td>Recovery–arithmetic</td>
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| **Heart rate variability (ms)**                              |
| **Reactivity indexes**                                       |
| Basal–speech                                                 | \( \rho = 0.76; \ p < 0.0001 \) | \( \rho = 0.32; \ p = 0.003 \) |
| Basal–arithmetic                                             | \( \rho = 0.72; \ p < 0.0001 \) | \( \rho = 0.14; \ p = 0.32 \) |
| Recovery indexes                                            | \( \rho = 0.60; \ p < 0.0001 \) | \( \rho = 0.32; \ p = 0.03 \) |
| Recovery–arithmetic                                         | \( \rho = 0.53; \ p < 0.0001 \) | \( \rho = 0.21; \ p = 0.14 \) |

Heart rate variability was calculated as the root mean square of successive differences between adjacent RR intervals in ms: RMSSD_2min. Resting vagal control was measured by the root mean square of successive differences between adjacent RR intervals (RMSSD_5min) during the whole 5-min basal condition. Trait resilience was measured by the Ego-Resiliency Scale (ER-89). Sample size = 50 participants.
task: $r = 0.29$, $p = 0.04$), and there was no significant correlation between trait resilience and IBI recovery index for speech task (recovery–speech task: $r = 0.22$, $p = 0.13$). These results indicated that participants with higher trait resilience react and recover more efficiently from stress, considering the IBI fluctuations (Table I).

Spearman correlation coefficients showed a significant positive association between the trait resilience and RMSSD$_{2min}$ reactivity index for speech task (basal–speech task: $r = 0.32$, $p = 0.03$). Trait resilience had a significant positive correlation with RMSSD$_{2min}$ recovery index for speech task (recovery–speech task: $r = 0.32$, $p = 0.03$). Considering RMSSD$_{2min}$ reactivity and recovery indexes for arithmetic task, there were no significant associations with trait resilience (Table I).

**Discussion**

This study investigated the impact of individual physiological and psychological predispositions on the cardiac response to acute psychological stress in Brazilian peacekeepers. Results showed a significant reduction in IBIs (i.e., tachycardia) and in HRV (i.e., vagal withdrawal) during the TSST tasks (preparation, speech, and arithmetic) and a significant recovery of both measures in the aftermath. Moreover, we showed that those individuals with higher resting vagal control and those with higher trait resilience presented a more significant allostatic response with robust tachycardia and vagal withdrawal during stress and more efficient recovery after the end of stress.

Previous studies have demonstrated that the TSST is a potent inducer of a cardiovascular response. Such studies observed a significant acceleration of heart rate (Birkett 2011; Kudielka et al. 2004a; Kudielka et al. 2004b; Schommer et al. 2003) and a reduction in vagal activity (Klinkenberg et al. 2009; Petrowski et al. 2010) during the stress tasks. Likewise, in this study, a robust reduction in IBI and HRV during both the speech and arithmetic tasks was found. To the best of our knowledge, this is the first study to apply the TSST in a sample of professional peacekeepers.

Our findings regarding the correlation of resting vagal control with stress reactivity and recovery showed that individuals with higher resting vagal control presented a more flexible pattern of response to stress challenge. These participants showed a larger reduction in IBIs and HRV during stress and a larger increase in IBIs and HRV after the cessation of stress. Souza et al. (2007) found that undergraduate students with higher resting vagal control displayed better cardiac recovery from a speech task compared to those with lower resting vagal control, which presented significantly less recovery. Many studies have linked high and low resting vagal control, respectively, with well- and maladaptive functions. Concerning low resting vagal control, Weber et al. (2010) found an association with impaired recovery of cardiovascular, endocrine, and immune markers from stress. Thayer and Sternberg (2006), reviewing the role of vagal activity in allostatic processes, showed that low vagal activity is associated with poor glucose regulation, increased hypothalamic–pituitary–adrenal axis activation, and increased inflammatory processes. In addition, low vagal control has been associated with depression (Thayer et al. 1998) and generalized anxiety disorder (Thayer et al. 1996). As for high vagal control, several studies have shown that this is associated with self-reports of enhanced regulatory control to moderate/intense stressors (Fabes and Eisenberg 1997) and with active coping in bereavement (O’Connor 2002). These studies along with the results found in the present work support the emergence of resting vagal control as an important measure of autonomic flexibility and a physiological marker of a well-adaptive allostatic response.

In this study, individuals with higher trait resilience were more flexible (i.e., showed more tachycardia and vagal withdrawal to stress and efficient recovery after the cessation of stress). A possible limitation of this study is that the back-translation and cultural adaptation of the ER-89 resilience scale to Portuguese has not yet been published. However, the validity of the scale seems adequate because the scores were correlated with vigorous cardiac response to stress (i.e., tachycardia and vagal withdrawal) and efficient cardiac recovery after the cessation of stress, which is part of resilience construct. In line with present results, Souza et al. (2007), Tugade et al. (2004), and Tugade and Fredrickson (2004) showed that high-resilient participants had greater heart rate recovery from stress than low-resilient participants. Together, these results corroborate the idea that resilient individuals are characterized by high flexibility in response to changing situational demands and by the ability to bounce back from negative emotional experiences. We suggest that trait resilience could be a psychological marker of allostatic response to stress. However, our results indicated that the physiological marker (resting vagal control) had a more consistent association with reactivity and recovery indexes than the psychological marker (trait resilience).

A relevant applicability of this study results is the possibility to increase the level of resting vagal control to help people cope with stress more efficiently. Indeed, some studies have proposed that it is possible to increase resting vagal control through different types of interventions. HRV biofeedback reliably increases resting vagal control in basketball players (Paul et al. 2012) and in patients with depression (Siepmann et al. 2008). Exercise has also been considered as a strategy to improve autonomic control. Results concerning the effects of aerobic fitness and endurance training on resting markers of parasympathetic autonomic control indicate higher levels of resting vagal modulation of heart rate in fit
compared to unfit individuals (Aubert et al. 2003; Berkoff et al. 2007; Buchheit and Gindre 2006). Furthermore, an intervention study (Duarte 2010), consisting of a 3-month routine of physical exercises, conducted on Brazilian military personnel, who were already fit at the starting point, resulted in significant increase in resting cardiac vagal control compared to those who underwent ordinary activities. Regarding longitudinal studies, some authors have proposed that cardiac vagal control improves with chronic exercise (Buchheit et al. 2010; Kiviniemi et al. 2006; Nummela et al. 2010). Cognitive behavior therapy has also been considered to promote an increase in resting vagal control in posttraumatic stress disorder (Norte et al. 2011) and in depressed patients with coronary heart disease (Carney et al. 2000). A review has concluded that in healthy subjects, and those with medically diagnosed conditions, acupuncture increased resting vagal control (Anderson et al. 2012).

Conclusions

Participants presenting high trait resilience and high resting vagal control seem more flexible, inasmuch as they react and recover more efficiently from stress. In doing so, they potentially reduce the allostatic overload in stress response systems due to an optimal homeostasis-restoration process. By understanding the role of trait resilience and resting vagal control in promoting a more adaptive response and better coping with stress situations, our findings could help the selection, training, and treatment of professionals involved in risk-taking activities, as is the case for peacekeepers.

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